

# Almost Reduction and Perturbation of Matrix Cocycles

Jairo Bochi\* & Andrés Navas†

January 23, 2013

## Abstract

In this note, we show that if all Lyapunov exponents of a matrix cocycle vanish, then it can be perturbed to become cohomologous to a cocycle taking values in the orthogonal group. This extends a result of Avila, Bochi and Damanik to general base dynamics and arbitrary dimension. We actually prove a fibered version of this result, and apply it to study the existence of dominated splittings into conformal subbundles for general matrix cocycles.

## 1 From zero Lyapunov exponents to rotation cocycles

### 1.1 Basic definitions

Let  $F: \Omega \rightarrow \Omega$  be a homeomorphism of a compact metric space  $\Omega$ . Let  $V$  be a finite-dimensional real vector bundle over  $\Omega$ , whose fiber over  $\omega$  is denoted by  $V_\omega$ . Let  $\mathcal{A}$  be a vector-bundle automorphism that fibers over  $F$ ; this means that the restriction of  $\mathcal{A}$  to each fiber  $V_\omega$  is a linear automorphism  $A(\omega)$  onto  $V_{F\omega}$ . In the case of trivial vector bundles,  $\mathcal{A}$  is usually called a *linear cocycle*.

As a convention, automorphisms of  $V$  will be denoted by calligraphic letters, and the restrictions to the fibers will be denoted by the corresponding roman letters. Analogously, for any integer  $n$ , the restriction of the power  $\mathcal{A}^n$  to the fiber  $V_\omega$  is denoted by  $A^n(\omega)$ ; thus  $A^n(\omega) = A(F^{n-1}\omega) \circ \dots \circ A(\omega)$  for  $n > 0$ .

A *Riemannian metric* on  $V$  is a continuous choice of inner product  $\langle \cdot, \cdot \rangle_\omega$  on each fiber  $V_\omega$ . It induces a *Riemannian norm*  $\|v\|_\omega = \sqrt{\langle v, v \rangle_\omega}$ . Given a linear map  $L: V_\omega \rightarrow V_{\omega'}$ , its *norm*  $\|L\|$  and its *conorm*  $\mathfrak{m}(L)$  are defined respectively as the supremum and the infimum of  $\|Lv\|_{\omega'}$  over all unit vectors  $v \in V_\omega$ .

Let  $\text{Aut}(V, F)$  denote the space of all automorphisms of  $V$  that fiber over  $F$ , endowed with the topology induced by the distance  $d(\mathcal{A}, \mathcal{B}) = \sup_\omega \|A(\omega) - B(\omega)\|$ , for some choice of a Riemannian norm on  $V$ .

---

\*Partially supported by CNPq (Brazil) and FAPERJ (Brazil).

†Partially supported by the Fondecyt Project 1120131 and the “Center of Dynamical Systems and Related Topics” (DySyRF; ACT-Project 1103, Conicyt).

## 1.2 Uniform subexponential growth and its consequences

Define

$$\lambda^+(\mathcal{A}) = \lim_{n \rightarrow +\infty} \frac{1}{n} \sup_{\omega \in \Omega} \log \|A^n(\omega)\| \quad \text{and} \quad \lambda^-(\mathcal{A}) = \lim_{n \rightarrow +\infty} \frac{1}{n} \inf_{\omega \in \Omega} \log \mathbf{m}(A^n(\omega)).$$

which exist by subadditivity and supraadditivity, respectively.

If  $\mu$  is ergodic probability measure for  $F: \Omega \rightarrow \Omega$ , then there are constants  $\lambda^+(\mathcal{A}, \mu)$ ,  $\lambda^-(\mathcal{A}, \mu)$ , called the *top* and *bottom Lyapunov exponents*, such that, for  $\mu$ -almost every  $\omega \in \Omega$ ,

$$\frac{1}{n} \log \|A^n(\omega)\| \rightarrow \lambda^+(\mathcal{A}, \mu) \quad \text{and} \quad \frac{1}{n} \log \mathbf{m}(A^n(\omega)) \rightarrow \lambda^-(\mathcal{A}, \mu) \quad \text{as } n \rightarrow +\infty.$$

Moreover, the following ‘‘variational principle’’ holds<sup>1</sup>:

$$\lambda^+(\mathcal{A}) = \sup_{\mu} \lambda^+(\mathcal{A}, \mu) \quad \text{and} \quad \lambda^-(\mathcal{A}) = \inf_{\mu} \lambda^-(\mathcal{A}, \mu). \quad (1.1)$$

where  $\mu$  runs over all invariant ergodic probabilities for  $F$ .

Let us say that the automorphism  $\mathcal{A}$  has *uniform subexponential growth* if  $\lambda^+(\mathcal{A}) = \lambda^-(\mathcal{A}) = 0$ . By (1.1), this is equivalent to the vanishing of all Lyapunov exponents with respect to all ergodic probability measures.

Our first result is:

**Theorem 1.1.** *Assume that  $\mathcal{A} \in \text{Aut}(V, F)$  has uniform subexponential growth. Then:*

(a) *For any  $\varepsilon > 0$ , there exists a Riemannian norm  $\|\cdot\|$  on  $V$  such that*

$$e^{-\varepsilon} \|v\|_{\omega} < \|A(\omega)v\|_{F\omega} < e^{\varepsilon} \|v\|_{\omega}, \quad \text{for all } \omega \in \Omega, v \in V_{\omega}. \quad (1.2)$$

(b) *There exists a perturbation of  $\mathcal{A}$  that preserves some Riemannian norm on  $V$ .*

As we will see, part (a) follows from a standard construction in Pesin theory, and part (b) follows from part (a). However, the latter implication is *not* straightforward, because if  $\varepsilon$  is small then the Riemannian norm constructed in part (a) may be very distorted with respect to a fixed reference Riemannian norm on  $V$ .

For a reformulation of the theorem in terms of conjugacy to isometric automorphisms, see § 1.4.

Despite making stringent assumptions about the automorphism  $\mathcal{A}$ , Theorem 1.1 can be used to obtain very strong properties for a dense subset  $D$  of  $\text{Aut}(V, F)$ . More precisely, under the assumption that  $F$  is minimal, we show that every automorphism in the subset  $D$  has a dominated splitting into subbundles which are conformal with respect to a suitable Riemannian metric; see Section 2.

<sup>1</sup>This follows from [Sc, Thm. 1] or [SS, Thm. 1.7]. Although these references assume  $\Omega$  to be compact metrizable, the proofs also work for compact Hausdorff  $\Omega$ . (See also the proof of Proposition 1 in [AB].) A particular case was considered in [Fu].

In the paper [BN], we prove results about cocycles of isometries of spaces of nonpositive curvature that generalize Theorem 1.1. Actually, we first obtained Theorem 1.1 as a corollary of the geometrical results of [BN]. Later, we realized that the constructions could be modified or adapted to produce an elementary proof of Theorem 1.1, which we present, together with its applications, in this note.

### 1.3 Proof of Theorem 1.1

We need a few preliminaries.

Recall that  $V$  is a finite-dimensional vector bundle over the compact space  $\Omega$ . We choose and fix a Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $V$ . Let  $\mathcal{B}$  be an automorphism of  $V$  over a homeomorphism  $G: \Omega \rightarrow \Omega$ . The *transpose* of  $\mathcal{B}$  is the automorphism  $\mathcal{B}^*$  over  $G^{-1}$  defined by

$$\langle \mathcal{B}(\omega)u, v \rangle_\omega = \langle u, \mathcal{B}^*(G\omega)v \rangle_{G\omega}, \quad \text{for all } u \in V_\omega, v \in V_{G\omega}.$$

If  $\mathcal{B}^* = \mathcal{B}$  (and thus  $G$  is the identity), then  $\mathcal{B}$  is called *symmetric*. An automorphism  $\mathcal{P}$  is called *positive* if it is symmetric and  $\langle \mathcal{P}(\omega)v, v \rangle_\omega > 0$  for all nonzero  $v \in V_\omega$ . We write  $\mathcal{B} < \mathcal{C}$  if  $\mathcal{B}$  and  $\mathcal{C}$  are symmetric and  $\mathcal{C} - \mathcal{B}$  is positive.

The following observations will be useful:

- If  $\mathcal{A}$  is any automorphism and  $\mathcal{B}$  is symmetric, then  $\mathcal{A}^*\mathcal{B}\mathcal{A}$  is symmetric; moreover, if  $\mathcal{B} < \mathcal{C}$ , then  $\mathcal{A}^*\mathcal{B}\mathcal{A} < \mathcal{A}^*\mathcal{C}\mathcal{A}$ .
- Each positive automorphism  $\mathcal{P}$  has a unique positive square root  $\mathcal{P}^{1/2}$ ; moreover,  $\mathcal{P}^{1/2}$  commutes with  $\mathcal{P}$ , and the map  $\mathcal{P} \mapsto \mathcal{P}^{1/2}$  is continuous.
- The square root map is monotonic: if  $\mathcal{P}, \mathcal{Q}$  are positive and  $\mathcal{P} < \mathcal{Q}$ , then  $\mathcal{P}^{1/2} < \mathcal{Q}^{1/2}$ . (See [Bh, p. 9] for a proof.)

*Proof of Theorem 1.1.* Let  $\mathcal{A}$  be an automorphism of  $V$  over the homeomorphism  $F$  having uniform subexponential growth. Fix a small  $\varepsilon > 0$ .

To prove part (a), we will use an standard construction in Pesin theory called *Lyapunov norms* (see e.g. [KH, p. 667]). Define

$$\|v\|_\omega^2 := \sum_{n \in \mathbb{Z}} e^{-2\varepsilon|n|} \|A^n(\omega)v\|_{F^n\omega}^2. \quad (1.3)$$

Since the cocycle has uniform subexponential growth, the series converges uniformly on compact subsets of  $V$ , and hence defines a (continuous) Riemannian norm. Property (1.2) is straightforward to check. This proves part (a),

To prove part (b), let  $\langle\langle \cdot, \cdot \rangle\rangle$  be the inner product that induces the norm (1.3). Then there are positive automorphisms  $\mathcal{R}, \mathcal{Q}$  such that

$$\langle\langle u, v \rangle\rangle_\omega = \langle R(\omega)u, v \rangle_\omega, \quad \langle\langle A(\omega)u, A(\omega)v \rangle\rangle_{F\omega} = \langle Q(\omega)u, v \rangle_\omega, \quad \forall u, v \in V_\omega.$$

The almost-invariance property (1.2) can now be expressed as:

$$e^{-2\varepsilon} \mathcal{Q} < \mathcal{R} < e^{2\varepsilon} \mathcal{Q}. \quad (1.4)$$

We want to find an automorphism  $\tilde{\mathcal{A}}$  over  $F$  that is close to  $\mathcal{A}$  and leaves the inner product  $\langle\langle \cdot, \cdot \rangle\rangle$  invariant. As it is straightforward to check, invariance means that the automorphism  $\mathcal{P} = \mathcal{A}^{-1}\tilde{\mathcal{A}}$  (over the identity) satisfies:

$$\mathcal{P}^* \mathcal{Q} \mathcal{P} = \mathcal{R}. \quad (1.5)$$

Equivalently,

$$(\mathcal{Q}^{1/2} \mathcal{P} \mathcal{Q}^{1/2})^* (\mathcal{Q}^{1/2} \mathcal{P} \mathcal{Q}^{1/2}) = \mathcal{Q}^{1/2} \mathcal{R} \mathcal{Q}^{1/2}.$$

Let us try to find a *positive* solution  $\mathcal{P}$ . Then the relation above becomes  $(\mathcal{Q}^{1/2} \mathcal{P} \mathcal{Q}^{1/2})^2 = \mathcal{Q}^{1/2} \mathcal{R} \mathcal{Q}^{1/2}$ , and using the uniqueness of positive square positive roots, we obtain

$$\mathcal{P} = \mathcal{Q}^{-1/2} (\mathcal{Q}^{1/2} \mathcal{R} \mathcal{Q}^{1/2})^{1/2} \mathcal{Q}^{-1/2}.$$

One checks directly that this formula solves the invariance equation (1.5), and thus gives the unique positive solution.

To estimate  $\mathcal{P}$ , we follow an observation due to [PT]. By the second inequality in (1.4) and the monotonicity of the square root, we obtain  $\mathcal{P} < e^\varepsilon \mathcal{I}$  where  $\mathcal{I}$  is the identity automorphism. This means that  $\|P(\omega)\| < e^\varepsilon$  for every  $\omega$ . Analogously, the first inequality in (1.4) implies that  $\mathfrak{m}(P(\omega)) > e^{-\varepsilon}$ . This shows that  $\mathcal{P}$  is close to the identity, and therefore the automorphism  $\tilde{\mathcal{A}} := \mathcal{A} \mathcal{P}$  is close to  $\mathcal{A}$ . As we have seen,  $\tilde{\mathcal{A}}$  preserves the new Riemannian metric, thus completing the proof of the theorem.  $\square$

*Remark 1.2.* Equation (1.5) obviously has infinitely many solutions  $\mathcal{P}$ , not all of them close to the identity. As we have seen, restricting to positive automorphisms we have a unique solution, which is close to the identity and varies continuously with the data.

In [BN], we obtain a generalization of Theorem 1.1 to cocycles of isometries of symmetric spaces of non-positive curvature. If specialized to the present situation, the construction presented in [BN] is the same given here for part (b), thus “explaining” the efficiency of positive matrices.  $\triangleleft$

*Remark 1.3.* Notice that the Riemannian norm and the perturbed automorphism constructed in the proof of Theorem 1.1 depend continuously on the parameter  $\varepsilon$  and also on the automorphism  $\mathcal{A}$  itself. These properties are relevant for the applications obtained in [ABD2].  $\triangleleft$

## 1.4 Conjugacy

Let us put Theorem 1.1 under a different perspective.

Two automorphisms  $\mathcal{A}, \mathcal{B} \in \text{Aut}(V, F)$  are said to be *conjugate* if there exists  $\mathcal{U} \in \text{Aut}(V, \text{id})$  such that  $\mathcal{A} = \mathcal{U} \mathcal{B} \mathcal{U}^{-1}$ . (In the case of a trivial vector bundle, we say that the two linear cocycles are *cohomologous*.)

Fixed a Riemannian metric on  $V$ , we say that a automorphism  $\mathcal{A}$  is *isometric* if it preserves this metric. (In the case of a trivial vector bundle, the cocycle will take values in the orthogonal group, i.e., it will be a *rotation cocycle*.)

Then we have:

**Theorem 1.4.** *Fix a Riemannian metric on the vector bundle  $V$ . Assume that  $\mathcal{A} \in \text{Aut}(V, F)$  has uniform subexponential growth. Then:*

- (a) *There exists an automorphism conjugate to  $\mathcal{A}$  that is close to an isometric automorphism.*
- (b) *There exists an automorphism close to  $\mathcal{A}$  that is conjugate to an isometric automorphism.*

For  $\text{SL}(2, \mathbb{R})$ -cocycles and under extra assumptions on the dynamics  $F$ , the result above was shown by Avila, Bochi and Damanik as a step in the proofs of their results about spectra of Schrödinger operators, see [ABD1, ABD2].<sup>2</sup>

In the case of cocycles (i.e., trivial vector bundles), it is natural to look for conditions under which we can improve the conclusion of Theorem 1.4.(b) and find a perturbed cocycle cohomologous to a constant rotation, or even to the identity. The case of  $\text{SL}(2, \mathbb{R})$ -cocycles is studied in [ABD2].

*Proof of Theorem 1.4.* Let  $\varepsilon > 0$  be small. We follow the notation of the proof of Theorem 1.1.

Notice that  $\|R(\omega)^{-1/2}v\|_\omega = \|v\|_\omega$  for every  $v \in V_\omega$ . Thus  $\mathcal{B} := \mathcal{R}^{-1/2}\mathcal{A}\mathcal{R}^{1/2}$  satisfies

$$e^{-\varepsilon}\|v\|_\omega < \|B(\omega)v\|_{F\omega} < e^\varepsilon\|v\|_\omega.$$

This implies that  $\mathcal{B}$  is close to an isometric isomorphism, thus proving part (a).

To prove part (b), it suffices to notice that  $\mathcal{R}^{-1/2}\mathcal{A}\mathcal{R}^{1/2}$  is an isometric isomorphism.  $\square$

There is another property which is closely related to what we have seen so far. Let us say that  $\mathcal{A} \in \text{Aut}(V, F)$  is *product-bounded* if

$$0 < \inf_{\substack{\omega \in \Omega \\ n \in \mathbb{Z}}} \mathfrak{m}(A^n(\omega)) \leq \sup_{\substack{\omega \in \Omega \\ n \in \mathbb{Z}}} \|A^n(\omega)\| < \infty.$$

If an automorphism  $\mathcal{A}$  is conjugate to an isometric automorphism then  $\mathcal{A}$  is product-bounded, as it is easy to check. Although product-bounded cocycles are not always conjugate to isometric automorphisms<sup>3</sup>, this happens whenever  $F$  is minimal, according to a result shown by Coronel, Navas and Ponce in [CNP].<sup>4</sup>

## 2 Existence of conformal subbundles

### 2.1 Results

The following is an immediate consequence of Theorem 1.1:

<sup>2</sup>However, they haven't explicitly stated the result: see the proof of Theorem 1 in [ABD1] and Proposition 6.3 in [ABD2].

<sup>3</sup>See e.g. [KH, Exercise 2.9.2], [Qu].

<sup>4</sup>In the non-minimal case, one can still ensure the existence of a bounded and measurable conjugacy.

**Corollary 2.1.** *Let  $\mathcal{A} \in \text{Aut}(V, F)$  be such that  $\lambda^+(\mathcal{A}) = \lambda^-(\mathcal{A}) =: \lambda$ . Then there exists a perturbation  $\tilde{\mathcal{A}}$  of  $\mathcal{A}$  and a Riemannian norm  $\|\cdot\|$  on  $V$  such that*

$$\|\tilde{\mathcal{A}}(\omega)v\|_{F\omega} = e^\lambda \|v\|_\omega, \quad \text{for all } \omega \in \Omega, v \in V_\omega.$$

In other words, if all Lyapunov exponents of an automorphism are equal, then we can perturb it to become conformal with respect to a new Riemannian metric.<sup>5</sup>

Using the corollary and a theorem from [BV], we will obtain the following result:

**Theorem 2.2.** *Assume that  $F: \Omega \rightarrow \Omega$  is a uniquely ergodic homeomorphism with an invariant measure of full support. Then for every automorphism  $\mathcal{A}$  in a dense subset of  $\text{Aut}(V, F)$ , there exist:*

- a Riemannian norm  $\|\cdot\|$  on  $V$ ;
- a continuous  $\mathcal{A}$ -invariant splitting  $V = V^1 \oplus \dots \oplus V^k$  which is orthogonal with respect to the Riemannian norm;
- and constants  $\lambda_1 > \dots > \lambda_k$ ;

such that

$$\|A(\omega)v_i\|_{F\omega} = e^{\lambda_i} \|v_i\|_\omega, \quad \text{for all } \omega \in \Omega, i = 1, \dots, k, v_i \in V_\omega^i.$$

Weakening the assumption of unique ergodicity to minimality, we have the following result:

**Theorem 2.3.** *Assume that  $F: \Omega \rightarrow \Omega$  is a minimal homeomorphism of a compact space of finite dimension<sup>6</sup>. Then for every  $\mathcal{A}$  in a dense subset of  $\text{Aut}(V, F)$ , there exist:*

- a Riemannian norm  $\|\cdot\|$  on  $V$ ;
- a continuous  $\mathcal{A}$ -invariant splitting  $V = V^1 \oplus \dots \oplus V^k$  which is orthogonal with respect to the Riemannian norm;
- and continuous functions  $\lambda_1 > \dots > \lambda_k$  on  $\Omega$ ;

such that

$$\|A(\omega)v_i\|_{F\omega} = e^{\lambda_i(\omega)} \|v_i\|_\omega, \quad \text{for all } \omega \in \Omega, i = 1, \dots, k, v_i \in V_\omega^i.$$

As we will see, this has a similar proof as Theorem 2.2, basically replacing the result from [BV] by the result from [Bo].

We expect that Theorems 2.2 and 2.3 will be useful to answer the following question: *When can a linear cocycle over an uniquely ergodic or minimal base dynamics be approximated by a cocycle with a dominated (non-trivial) splitting?* Results on the 2-dimensional case were obtained in [ABD1, ABD2].

<sup>5</sup>See [KS] for a non-perturbative result with a similar conclusion.

<sup>6</sup>We say that  $\Omega$  has *finite dimension* if it is homeomorphic to a subset of an euclidean space  $\mathbb{R}^n$ .

## 2.2 Proofs

*Proof of Theorem 2.2.* Assume that  $F: \Omega \rightarrow \Omega$  has a unique invariant probability  $\mu$ , and its support is  $\Omega$ . Take any  $\mathcal{A} \in \text{Aut}(V, F)$ ; we will explain how to perturb it so that it has the desired properties. First, by [BV], one can perturb  $\mathcal{A}$  so that the along  $\mu$ -almost every orbit, the Oseledets splitting is trivial or dominated. Let

$$V_\omega^1 \oplus \cdots \oplus V_\omega^k = V_\omega, \quad \omega \in \Omega,$$

be the *finest dominated splitting* of the cocycle, that is, the unique everywhere defined global dominated splitting with a maximal number  $k$  of bundles (with  $k = 1$  if there is no dominated splitting).<sup>7</sup>

We claim that for almost every point, there are exactly  $k$  different Lyapunov exponents. Indeed, on the one hand, there are at least  $k$  different exponents because there is a dominated splitting with  $k$  bundles. On the other hand, if there is a positive measure set of points with more than  $k$  different Lyapunov exponents, then one can select an orbit along which the Oseledets splitting is dominated. This orbit is dense on  $\Omega$  (because the invariant measure has full support). Since dominated splittings extend to the closure (see [BDV]), one gets a global dominated splitting with more than  $k$  bundles; this is a contradiction.

For each  $i = 1, \dots, k$ , let  $\mathcal{A}_i$  be the restriction of  $\mathcal{A}$  to the bundle  $V^i$ ; this is a (continuous) vector bundle automorphism. By the claim above,

$$\lambda^+(\mathcal{A}_i) = \lambda^+(\mathcal{A}_i, \mu) = \lambda^-(\mathcal{A}_i, \mu) = \lambda^-(\mathcal{A}_i) =: \lambda_i.$$

Therefore, by Corollary 2.1, for each  $i$  there is a perturbation  $\tilde{\mathcal{A}}_i$  of  $\mathcal{A}_i$  and a Riemannian norm  $\|\cdot\|_i$  on  $V^i$  such that

$$\|\tilde{\mathcal{A}}_i(\omega)v_i\|_{i, F\omega} = e^{\lambda_i} \|v_i\|_{i, \omega}, \quad \text{for all } \omega \in \Omega, v_i \in V_\omega^i.$$

Let  $\|\cdot\|$  be the Riemannian norm that makes the subbundles orthogonal and that coincides with  $\|\cdot\|_i$  on  $V^i$ . Let  $\tilde{\mathcal{A}}$  be the automorphism of  $V$  whose restriction to the subbundles  $V^i$  are the automorphisms  $\tilde{\mathcal{A}}_i$ . This automorphism has the desired properties, thus completing the proof.  $\square$

For the proof of Theorem 2.3, we need the following result:

**Theorem 2.4.** *Assume that  $F: \Omega \rightarrow \Omega$  is a minimal homeomorphism of a compact space of finite dimension. Then every  $\mathcal{A}$  in a residual subset of  $\text{Aut}(V, F)$  has the following property: the Oseledets splitting with respect to any invariant probability coincides almost everywhere with the finest dominated splitting of  $\mathcal{A}$ .*

This result is proved in full generality in [Bo]. (The case of  $\text{SL}(2, \mathbb{R})$ -cocycles was previously considered in [AB].) Notice that, as we have seen in the proof of Theorem 2.2 above, under the additional assumption of unique ergodicity, Theorem 2.4 follows from [BV].

*Proof of Theorem 2.3.* Assume that  $F: \Omega \rightarrow \Omega$  is minimal. Take any  $\mathcal{A} \in \text{Aut}(V, F)$ ; we will explain how to perturb it so that it has the desired properties. First, perturb  $\mathcal{A}$  so that it has the property from Theorem 2.4. This means that if

$$V_\omega^1 \oplus \cdots \oplus V_\omega^k = V_\omega, \quad (\omega \in \Omega)$$

<sup>7</sup>See [BDV] for details on finest dominated splittings.

is the finest dominated splitting of the cocycle and  $\mathcal{A}_i$  is the restriction of  $\mathcal{A}$  to the bundle  $V^i$  then

$$\lambda^+(\mathcal{A}_i, \mu) = \lambda^-(\mathcal{A}_i, \mu) \quad \text{for every ergodic probability } \mu \text{ for } F. \quad (2.1)$$

By [Go], we can choose a Riemannian metric on  $V$  that is *adapted* to the dominated splitting, which means that

$$\inf_{\omega \in \Omega} \frac{\mathfrak{m}(A_i(\omega))}{\|A_{i+1}(\omega)\|} > 1, \quad \text{for every } i = 1, 2, \dots, k-1.$$

Let  $d_i$  be the fiber dimension of  $V^i$ , and let

$$\lambda_i(\omega) := \frac{1}{d_i} \log |\det A_i(\omega)|;$$

here determinants are computed with respect to the adapted metric, and in particular  $\lambda_1 > \lambda_2 > \dots > \lambda_d$  pointwise.<sup>8</sup>

Let  $\mathcal{B}_i = e^{-\lambda_i} \mathcal{A}_i$ , so  $|\det B_i(\omega)| = 1$  for every  $\omega$ . It follows from (2.1) that

$$\lambda^+(\mathcal{B}_i, \mu) = \lambda^-(\mathcal{B}_i, \mu) = 0 \quad \text{for every ergodic probability } \mu \text{ for } F.$$

By the ‘‘variational principle’’ (1.1), this implies  $\lambda^+(\mathcal{B}_i, \mu) = \lambda^-(\mathcal{B}_i, \mu) = 0$ . Therefore, by Theorem 1.1, for each  $i$  there is a Riemannian norm  $\|\cdot\|_i$  on  $V^i$  that is preserved by a perturbation  $\tilde{\mathcal{B}}_i$  of  $\mathcal{B}_i$ .

Let  $\|\cdot\|$  be the Riemannian norm that makes the subbundles orthogonal and that coincides with  $\|\cdot\|_i$  on  $V^i$ . Let  $\tilde{\mathcal{A}}$  be the automorphism of  $V$  whose restrictions to the subbundles  $V^i$  are the automorphisms  $e^{\lambda_i} \tilde{\mathcal{B}}_i$ . This automorphism has the desired properties, thus completing the proof.  $\square$

## References

- [AB] A. AVILA & J. BOCHI. A uniform dichotomy for generic cocycles over a minimal base. *Bull. Soc. Math. France* **135**, no. **3** (2007), 407–417. (Cited on pages 2 and 7.)
- [ABD1] A. AVILA, J. BOCHI & D. DAMANIK. Cantor spectrum for Schrödinger operators with potentials arising from generalized skew-shifts. *Duke Math. J.* **146**, no. **2** (2009), 253–280. (Cited on pages 5 and 6.)
- [ABD2] ———. Opening gaps in the spectrum of strictly ergodic Schrödinger operators. *J. Eur. Math. Soc.* **14**, no. **1** (2012), 61–106. (Cited on pages 4, 5, and 6.)
- [Bh] R. BHATIA. *Positive definite matrices*. Princeton, 2007. (Cited on page 3.)
- [Bo] J. BOCHI. Generic linear cocycles over a minimal base. Preprint [arXiv:1302.5542](https://arxiv.org/abs/1302.5542) (Cited on pages 6 and 7.)
- [BN] J. BOCHI & A. NAVAS. On cocycles of isometries of nonpositively curved spaces with sublinear drift. Preprint [arXiv:1112.0397](https://arxiv.org/abs/1112.0397) (v3). (Cited on pages 3 and 4.)

---

<sup>8</sup>One can avoid using adapted metrics in the proof of Theorem 2.3 by using the following fact: if the functions  $\lambda_1, \dots, \lambda_k$  satisfy  $\int \lambda_1 d\mu > \dots > \int \lambda_k d\mu$  for every ergodic probability  $\mu$ , then there are functions  $\hat{\lambda}_i$  cohomologous to the  $\lambda_i$ ’s such that  $\hat{\lambda}_1 > \dots > \hat{\lambda}_d$  pointwise.

- [BV] J. BOCHI & M. VIANA. The Lyapunov exponents of generic volume preserving and symplectic maps. *Ann. Math.* **161**, no. **3** (2005), 1423–1485. (Cited on pages 6 and 7.)
- [BDV] C. BONATTI, L. J. DÍAZ & M. VIANA. *Dynamics beyond uniform hyperbolicity*. Springer (2005). (Cited on page 7.)
- [CNP] D. CORONEL, A. NAVAS & M. PONCE. On bounded cocycles of isometries over a minimal dynamics. Preprint [arXiv:1101.3523](https://arxiv.org/abs/1101.3523) (Cited on page 5.)
- [Fu] A. FURMAN. On the multiplicative ergodic theorem for uniquely ergodic systems. *Ann. Inst. H. Poincaré Probab. Statist.* **33** (1997), 797–815. (Cited on page 2.)
- [Go] N. GOURMELON. Adapted metrics for dominated splittings. *Erg. Theory Dyn. Sys.* **27**, no. **6** (2007), 1839–1849. (Cited on page 8.)
- [KS] B. KALININ & V. SADOVSKAYA. Linear cocycles over hyperbolic systems and criteria of conformality. *J. Modern Dyn.* **4**, no. **3** (2010), 419–441. (Cited on page 6.)
- [KH] A. KATOK & B. HASSELBATT. *Introduction to the modern theory of dynamical systems*. Cambridge Univ. Press, 1996. (Cited on pages 3 and 5.)
- [PT] G.K. PEDERSEN & M. TAKESAKI. The operator equation  $THT = K$ . *Proc. Amer. Math. Soc.* **36** no. 1 (1972), 311–312. (Cited on page 4.)
- [Qu] A.N. QUAS. Rigidity of continuous coboundaries. *Bull. London Math. Soc.* **29** (1997), 595–600. (Cited on page 5.)
- [Sc] S.J. SCHREIBER. On growth rates of subadditive functions for semiflows. *J. Differential Equations* **148** (1998), 334–350. (Cited on page 2.)
- [SS] R. STURMAN & J. STARK. Semi-uniform ergodic theorems and applications to forced systems. *Nonlinearity* **13** (2000), 113–143. (Cited on page 2.)

Jairo Bochi  
PUC–Rio  
Rua Marquês de S. Vicente, 225  
Rio de Janeiro, Brazil  
jairo@mat.puc-rio.br  
[www.mat.puc-rio.br/~jairo](http://www.mat.puc-rio.br/~jairo)

Andrés Navas  
Universidad de Santiago  
Alameda 3363, Estación Central  
Santiago, Chile  
andres.navas@usach.cl